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PATENT
Docket No. 116692000300

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on November 27, 2001.


N. DeRiggi

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Toshiyuki NAKAO et al.

Serial No.: 09/779,138

Filing Date: February 8, 2001

For: DEVICE MANAGEMENT SYSTEM,
MANAGEMENT CLIENT,
CONTROLLER SERVER, METHOD
FOR MANAGING USAGE CONTEXT
OF DEVICE, AND RECORDING
MEDIUM WHICH RECORDS THE
METHOD

Examiner: not assigned

Group Art Unit: not assigned

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**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Box DD
Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application. Please note that the

first two references listed on the second page of the European Search Report were submitted in an Information Disclosure Statement filed July 23, 2001.

This Information Disclosure Statement is submitted before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

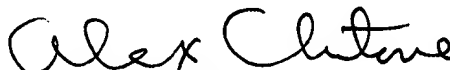
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 116692000300. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 27, 2001

Respectfully submitted,

By: 
Alex Chartove
Registration No. 31,942

Morrison & Foerster LLP
2000 Pennsylvania Avenue, N.W.
Washington, D.C. 20006-1888
Telephone: (202) 887-1594
Facsimile: (202) 263-8396



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|---|-------------------------------------|-------------------------------|
| Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | Docket Number 116692000300 | Application Number 09/779,138 |
| | Applicant Toshiyuki NAKAO et al. | |
| | Filing Date February 8, 2001 | Group Art Unit not assigned |
| | Mailing Date November 27, 2001 | |

U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date If Appropriate |
|----------------------|-------------|--------|--------------|------------------|-------|----------|-------------------------------|
| | 1. | 2/1997 | 5,600,364 | Hendricks et al. | | | |
| | 2. | 2/1999 | 5,870,610 | Beyda | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Country | Class | Subclass | Translation YES NO |
|----------------------|-------------|------|--------------|---------|-------|----------|-----------------------|
| | | | | | | | |

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

| Examiner Initials | Ref. No. | Title |
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| EXAMINER: | DATE CONSIDERED: |
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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.